

On-X Heart Valve: Worldwide Experience

12 year, multicenter follow-up data of the On-X® valve confirms continued low complication rates benefitting On-X aortic valve patients.

The On-X Heart Valve:

FDA approved in 2001

17 years of clinical excellence

Proven exceptional patient results

Prospective Randomized On-X Anticoagulation Clinical Trial (PROACT): FDA application submitted to lower INR levels for On-X valve patients.



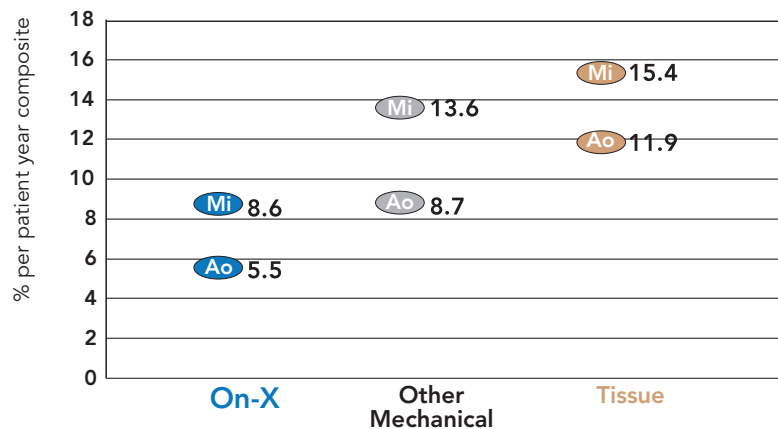
On-X® Standard Aortic Prosthetic Heart Valve

The On-X valve long-term lower complication rates

| Event (%/pt.yr) | Europe: London, Athens, Barcelona ¹ | S. Africa* ² | Taiwan** ³ | Germany ⁴ | U.S. PMA Study ⁵ |
|-------------------------|--|-------------------------|-----------------------|----------------------|-----------------------------|
| Total TE | 0.8 | 1.9 | 0.7 | 1.5 | 1.7 |
| Major Bleed | 0.9 | 1.2 | 1.6 | 1.1 | 0.2 |
| Valve-related Mortality | 0.5 | 1.3 | 0.7 | 1.9 | 0.2 |
| TOTAL | 2.2 | 4.4 | 3.0 | 4.5 | 2.6 |

* Poorly anticoagulated. 40% non-compliant² **Uses lower anticoagulation.³

Comparing FDA audited and reported composite clinical rates: On-X, other mechanical and tissue valves⁵⁻¹³



Includes TE, hemorrhage, reoperation, and death (both valve-related and total) rates.

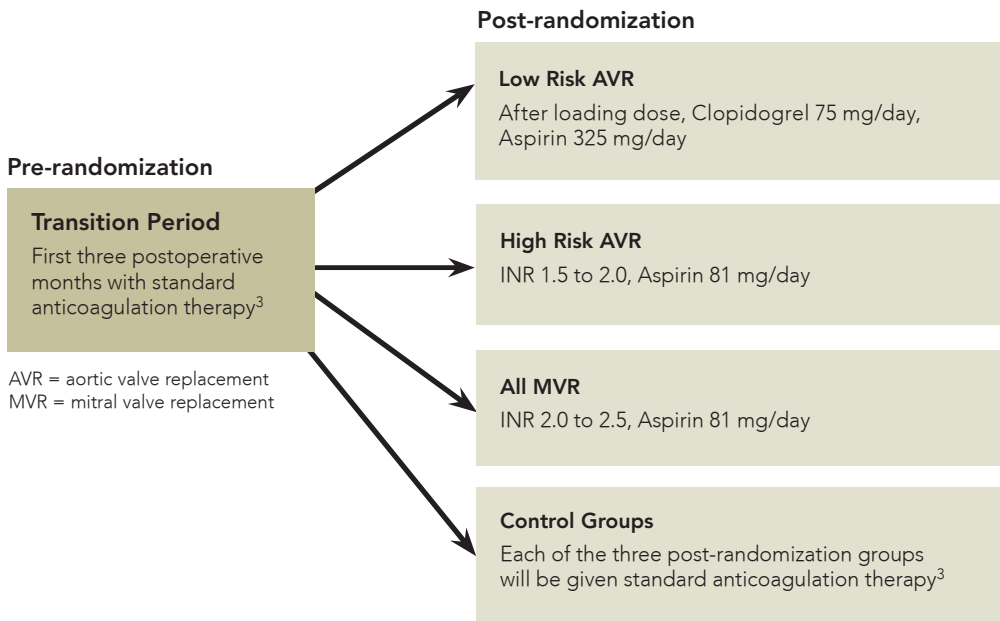
Ao - Aortic
Mi - Mitral

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- Tsai HW, Hsieh SR, Wei HJ, et al. Seven-year experience with On-X Prosthetic Heart Valves in an Asian population with high risk cardiac status and reduced anti-coagulation, Society for Heart Valve Disease 4th Biennial Meeting, New York, June 15-18, 2007. Poster 146 [Poster]
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- SJM Regent® Valve. Clinical Study Summary (package insert)
- CarboMedics® Prosthetic Heart Valve. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P900060. Approval date April 13, 1993
- ATS Open Pivot® Bileaflet Heart Valve. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P990046. Approval date October 13, 2000
- Edwards Life Sciences Carpentier-Edwards Perimount Magna Pericardial Bioprosthesis. Instructions for Use. Copyright 2003
- Mitroflow Aortic Pericardial Heart Valve. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P060038. Approval date October 23, 2007
- SJM Biocor® Valve and SJM Biocor® Supra Valve. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P040021. Approval date August 5, 2005
- Medtronic Freestyle® Aortic Root Prosthesis. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P970031 Approval date November 26, 1997
- Mosaic Heart Valve. Summary of Safety and Effectiveness Data submitted to the United States Food and Drug Administration. PMA P990064. Approval date July 14, 2000.

On-X Valve Lowered Anticoagulation Trial

October 2012: On-X Life Technologies, Inc.™ submitted applications to the U.S. FDA and to the Conformité Européenne (CE) for revised Instructions for Use (IFU) recommending lower INR levels (1.5 – 2.0) for patients with On-X aortic valves. The applications were submitted based upon the results of the PROACT high-risk aortic valve patient group.

PROACT Test Groups¹⁻³



PROACT Patient Group Criteria

PROACT Trial High Risk AVR Criteria

- Chronic atrial fibrillation
- Left ventricular ejection fraction < 30%
- Enlarged left atrium > 50mm diameter
- Spontaneous echo contrasts in the left atrium
- Neurological events (any history prior stroke or TIA)
- Left or right ventricular aneurysm
- Women receiving estrogen replacement therapy
- Hypercoagulability

PROACT Trial Low Risk AVR Criteria

Patients are considered low risk only if they have no high risk factors.

PROACT Trial Exclusion Criteria

- Multiple valve replacement (MV repair is acceptable)
- Active endocarditis
- Terminal illness
- Emergency cases
- Inability to return for follow-up
- Persons unable to give adequate consent

On-X Valve Lowered Anticoagulation Trial

2001
On-X Valve FDA approved

2006
Exceptional worldwide On-X valve clinical data results inspired PROACT Trial

2006
PROACT Trial initiated



2011
Positive preliminary results presented at American College of Cardiology (ACC) Annual Meeting⁴

2012
Submission to FDA for IFU changes for high-risk aortic group

2013
Projected submission to FDA for IFU changes for low-risk group

2014
Projected submission to FDA for IFU changes for mitral group

1. FDA Approved Non-Warfarin and Reduced Warfarin Anticoagulation Trial of the On-X Prosthetic Heart Valve Initiated at Emory Crawford Long Hospital -Tuesday, August 22, 2006. News release Medical Carbon Research Institute, LLC, Austin, Texas USA; © 2006 http://www.onxvalves.com/about_news_item.asp?NewsID=31
2. PROACT Investigation Plan. Medical Carbon Research Institute, LLC, Austin, Texas USA; © 2006
3. ACC/ AHA 2006 Guidelines for the Management of Patients With Valvular Heart Disease: A Report of the American College of Cardiology/American Heart Association Task Force on Practice Guidelines (Writing Committee to Revise the 1998 Guidelines for the Management of Patients With Valvular Heart Disease): Developed in Collaboration With the Society of Cardiovascular Anesthesiologists Endorsed by the Society for Cardiovascular Angiography and Interventions and the Society of Thoracic Surgeons Circulation 2006;114:84-231. DOI: 10.1161/CIRCULATIONAHA.106.176857.
4. Puskas JD, Quinn R, Fermin L, McGrath M, Gerdisch M, Hughes C, Martin T, Kong B, Nichols D. Reduced anticoagulation for a mechanical heart valve. Presented at the American College of Cardiology 2011, New Orleans, Louisiana, USA, April 4, 2011 in Late-Breaking Clinical Trials

On-X aortic and mitral valves are FDA approved.

Until the PROACT Trial is complete, On-X Life Technologies recommends all patients should be retained on existing ACC/AHA guidelines for mechanical valve anticoagulation. CAUTION: Federal law restricts this device to sale by or on the order of a physician. Refer to the Instructions for Use that accompany each valve for indications, contraindications, warnings, precautions and possible complications. CAUTION: This investigational use of this device is limited by Federal law to investigational sites. For further information, visit www.onxlti.com.

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On-X Life Technologies, Inc.™ | designed for life

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